

1 **Predictors and mechanisms of the drought-influenced mortality of tree species along**
2 **the isohydric to anisohydric continuum in a decade-long study of a central US**
3 **temperate forest**

4 Lianhong Gu*

5 Environmental Sciences Division and Climate Change Science Institute

6 Oak Ridge National Laboratory

7 Oak Ridge, TN, USA 37831

8
9 Stephen G. Pallardy and Kevin P. Hosman

10 Department of Forestry, University of Missouri

11 Columbia, MO 65211, USA

12
13 Ying Sun

14 Department of Geological Sciences, University of Texas at Austin

15 Austin, TX 78712, USA

16
17 6 Jan 2015

18
19 This paper has been authored by UT-Battelle, LLC, under Contract No. DE-AC05-00OR22725
20 with the U.S. Department of Energy. The United States Government retains and the publisher, by
21 accepting the article for publication, acknowledges that the United States Government retains a
22 non-exclusive, paid-up, irrevocable, world-wide license to publish or reproduce the published
23 form of this manuscript, or allow others to do so, for United States Government purposes.

24
25 *Corresponding author:

26
27 Lianhong Gu

28 Environmental Sciences Division and Climate Change Science Institute, Building 4500N,

29 Oak Ridge National Laboratory

30 Oak Ridge, TN 37831-6335.

31 Email: lianhong-gu@ornl.gov

32 **Abstract**

33 Using decade-long continuous observations of tree mortality and predawn leaf water
34 potential (ψ_{pd}) at the Missouri Ozark AmeriFlux (MOFLUX) site, we studied how the
35 mortality of important tree species varied along the isohydric to anisohydric continuum
36 and how such variations may be predicted. Water stress determined inter-annual
37 variations in tree mortality with a time delay of one year or more, which was predicted by
38 predawn leaf water potential integral (PLWPI), mean effective precipitation interval (a
39 time period with no daily precipitation rates exceeding a threshold) with a daily threshold
40 precipitation at 5 mm day⁻¹ (MEPI5), and precipitation variability index (PVI). Positive
41 temperature anomaly integral (PTAI) and vapor pressure deficit integral (VPDI) also
42 worked reasonably well, particularly for moderate droughts. The extreme drought of the
43 year 2012 drastically increased the mortality of all species in the subsequent year.
44 Regardless of the degree of isohydry and drought intensity, the ψ_{pd} of all species
45 recovered rapidly after sufficiently intense rain events. This, together with a lack of
46 immediate leaf and branch desiccation, suggests that hydraulic disconnection in the
47 xylem was absent even during extreme drought and tree death was caused by significant
48 but indirect effects of drought. We also found that species occupying middle positions
49 along the isohydric to anisohydric continuum suffered less mortality than those at either
50 extremes (i.e., extremely isohydric or extremely anisohydric). Finally, our study
51 suggested that species differences in mortality mechanisms can be overwhelmed and
52 masked in extreme droughts and should be examined in a broad range of drought
53 intensity.

- 54 **Key words:** Drought, isohydric, anisohydric, predawn leaf water potential, tree mortality
- 55 predictors